



Invitation to tender: Writing SAP Concept Note on “Strengthening and Improving Climate Resilient WASH Service Delivery in Drought-Prone Districts of Karamoja Subregion, Uganda” for Submission to GCF

SIWI is pleased to invite individual consultants (Mid-Level; P-3/4) to take part in a tender procedure for the above contract. The work is foreseen to be remote/home-based, for 30 days over 1.5-2 months, starting early-to-mid May to the end of June/early July.

The deadline for receipt of tenders is **17 May 2022**

Point of contact: Henning Göransson Sandberg, Programme Officer, SIWI

E-mail address: henning.goranssonsandberg@siwi.org

1. Institutional background

The **Stockholm International Water Institute (SIWI)** is a policy institute that generates knowledge, builds capacity, and informs decision-making with a view to addressing the current challenges in water governance. Our vision is a Water Wise World – a world that recognizes the value of water and ensures that it is inclusively shared and used sustainably, equitably, and efficiently for all.

SIWI is duly constituted as a foundation in Sweden.

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SIWI is a world leader in WASH governance, working with several partners in developing approaches to strengthen accountability and sustainability of interventions. SIWI has recently supported UNICEF Uganda in assessing climate risks for the WASH sector, and appraising solutions for mitigating such risks. This involved, among other support, two scoping studies and a series of workshops with WASH and climate experts from the government, private sector, academia, NGOs and other stakeholders, assessing hazards, their exposure and at-risk exposure groups, and vulnerabilities in the sector. Based on the risks identified, a workshop was held in February 2022 to appraise potential solutions to these risks. Based on the outputs of this workshop, as well as the wider availability of climate risk data in Uganda, SIWI is now supporting UNICEF Uganda with their Green Climate Fund (GCF) Concept Note submission, focused on the Karamoja subregion. As part of this consultancy, SIWI will recruit a consultant to work with SIWI in supporting UNICEF Uganda with the writing of this submission.

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2. About the Project

UNICEF has committed to shift its WASH programme into Climate Resilient WASH (CR-WASH) programme to make the WASH services it provides to be climate resilient; increase beneficiary communities' resilience to climate change; and promote the use of renewable energy to reduce the carbon footprint. To achieve this, UNICEF needs go beyond the traditional "WASH" to develop a programming approach that will leverage partnerships, innovative technologies and new sources of financing to take concrete action to both increase climate resilience and tackle water scarcity. In this regard, UNICEF has provided catalytic funding to support the WASH sector at country level to lay groundwork for delivering sustainable, climate resilient WASH services and prepare to reach "readiness status" to be able to leverage funds for larger scale climate financing.

The Uganda Ministry of Environment and Water (MWE) with support from UNICEF seeks to enhance the resilience and adaptive capacity of rural livelihoods to climate impacts and risks on water resources in the most prone areas where there is also insufficient potable water and sanitation services. It aims to do so through (i) strengthening water resources monitoring systems for improved water management, (ii) generation and dissemination of appropriate water resource information for different stakeholders and communities most affected by floods, droughts and landslides iii) development information and rationale for development of climate resilient water and sanitation projects. It is in this regard that UNICEF Uganda is using part of the catalytic funding to support preparation of a Simplified Approval Process (SAP) Concept Note for GCF funding. Towards this UNICEF has commissioned prefeasibility studies to generate data and information for preparing SAP Concept Note. The studies are: (a) a WASH sector climate risk analysis to advance understanding on climate impacts to WASH sector in Uganda, with in-depth analysis in Karamoja sub-region; and (b) a hydrogeological/geophysical survey in Karamoja sub-region to identify suitable drilling sites for development of solarised multipurpose water systems; and recommend appropriate design, operations and management of multi-village water supply systems. A national workshop, with the main sector stakeholders, was held in February 2022 to validate the risk assessment findings and discuss response frameworks for specific climate hazards prioritized in the assessment.

SIWI, as a key partner to UNICEF Uganda, is seeking a suitably qualified Individual Consultant to support the preparation of SAP Concept Note (in GCF Concept Format) by pulling together the data and information generated by the two scoping studies and the validation workshop. For this SAP Concept Note, UNICEF and MWE will partner with the Development Bank of Southern Africa (DBSA) as the GCF Accredited Entity (AE).

3. Assignment objective

Goal and Objective: The goal is to use the information available, including initial Concept Note draft, review comments from GCF on the initial submission of the Concept Note and the new data/information generated by the risk assessment and prefeasibility study to prepare concept along the lines of the [GCF concept note template](#) detailing: project information; project details including its scope; financing/cost

information (including budget estimates); rationale for GCF involvement and exit strategy; risk Analysis; institutional arrangement; multi-Stakeholder engagement

Provide details/reference to AWP areas covered: This work will contribute towards supporting UNICEF's Goal Area 4; 'Safe and Clean Environment' particularly the outputs related to water, climate resilience and environmental sustainability. UNICEF Uganda has committed to shift its WASH programme into Climate Resilient WASH (CR-WASH) programme to make the WASH services it provides to be climate resilient; increase beneficiary communities' resilience to climate change; and promote the use of renewable energy to reduce the carbon footprint. This work therefore falls under the focus area of climate and is implemented under the Climate Resilient WASH Pillar.

Activities and Tasks:

Task 1: Participate in a virtual /remote workshop with key stakeholders (Government, UNICEF, DBSA), including the consultants who conducted the scoping studies to discuss key elements of the Concept Note e.g., project components, Theory of Change, investment criteria etc. This will also serve as the inception meeting for mutual understanding and clarity on the tasks, the plan of action and timeline for conducting the assignment.

Task 2: Building on the Assessment, Scoping analyses reports, validation workshop outputs, the draft Concept Notes, and Initial Feedback from GCF, the Consultant will develop a project Concept Note in the GCF format. The Content of the Concept Note should include but not limited to:

- Detailing of the project boundaries and geographic scope of implementation
- Mapping of the enabling framework (for example, regulations, subsidy policy, etc.)
- Detailing of activities and defining of budgets for proposal development, implementation, operation and maintenance
- Clarification of institutional arrangements for implementation clearly defining responsibilities, mandates
- Illustrating the transformational impact of the project activities i.e., how the project would lead to a wider national policy or expand its geographic scope (using theory of change diagram as per funder requirement)
- Opportunities for leveraging private investments, user and in country contributions, revenue generation etc.
- Socio economic impact assessments based on existing data or preliminary surveys
- Details on how the project would contribute towards the six GCF investment criteria.
- Be available for providing response to comments received from GCF and share revised concept note

Work relationships

The Consultant will be supervised by the WASH Manager at the UNICEF Uganda Country Office in Kampala, but working remotely. The Consultant will report to the UNICEF WASH Specialist.

Activity	Duration (Estimated # of days from contract signing)	Deliverable
Initial meeting/workshop with key project stakeholders	2 working days	Clarity on available information, the tasks, the plan of action and timeline for conducting the assignment
Preparation of concept note (in GCF template) along with supporting documents	15 working days	1 st draft of Concept Note and supporting documents in GCF reporting template to UNICEF, DBSA and MWE
Review of Comments in the 1 st draft Concept Note and development of final version	5 working days	Final Concept Note for submission to NDA and Accredited Entity
Provide response to comments from GCF/others and revise the concept note for sharing for approval*	8 working days	Revised concept note based on comments received from GCF

*In case there is abnormal delay in receiving comments from GCF for whatever reasons, the fees would be prorated

All reports should be written in English, single spacing font size 11 Times New Roman and submitted as both word and pdf versions. SIWI, UNICEF, MWE and DBSA will review draft reports and provide feedback on the deliverables within 5 working days.

Desired competencies, technical background, and experience

- a) **Education:** At least a Master’s degree in the fields of engineering, water resource management, climate change, economics, finance, or any other related field.
- b) Demonstrated technical capacity and expertise, supported by at least 7 years of relevant professional experience in an international environment in financial analysis on a project/programme level;
- c) Prior experience developing, reviewing and/or evaluating GCF Full Funding Proposals is required.
- d) Understanding and knowledge of the main areas/Project cycle of the Green Climate Fund or in the relevant areas.
- e) Demonstrated experience in the formulation of projects in water security sector and/or climate mitigation and adaptation in developing countries.
- f) Familiarity with technical issues related to adaptation and mitigation, methods of cost-benefit analysis for adaptation and mitigation options, identification of multiple benefits of adaptation and mitigation, including, environmental, economic, social and gender-related.
- g) Knowledge of climate finance from both public and private sources.
- h) Excellent written communication skills, with analytic capacity and ability to synthesize relevant collected data and findings for the preparation of high-quality reports.
- i) **Languages Needed:** Full proficiency both oral and written English.
- j) Must exhibit the **Core Values** of:
 1. Care

2. Respect
3. Integrity
4. Trust
5. Accountability

k) Core Competencies:

1. Demonstrates Self Awareness and Ethical Awareness
2. Works Collaboratively with others
3. Builds and Maintains Partnerships
4. Innovates and Embraces Change
5. Thinks and Acts Strategically
6. Drives to achieve impactful results
7. Manages ambiguity and complexity

4. Award criteria

The contract will be awarded based on the following criteria:

70% - Technical expertise, according to the requirements of the tender, including the required experience, technical skills and knowledge and language skills outlined above.

30% - Financial proposal, based on the price per day offered.

5. Tendering

Qualified candidates are requested to submit their tender to the email provided.

Interested candidates to indicate ability, availability, and rate daily expressed in US\$ for international or Uganda Shillings for national consultancy or individual contractor contract to undertake the terms of reference.

The work is foreseen to be remote/home-based, for 30 days over 1.5-2 months, starting end of May to the mid-July.

Applications submitted without a fee/ rate will not be considered.

The tender **must** contain the following information:

- A letter that summarizes the experience of the consultant related to the services procured (maximum one A4 page, font size 11).
- A full CV containing relevant references (maximum five A4 pages, font size 11), and specifying
- Name and contact information
- The tenderer should confirm in the proposal that he/she is legally registered as a consultant and will be able to submit invoices.

The tender must be submitted to the following email address: henning.goranssonsandberg@siwi.org. SIWI must have received the tender by **17 May 2022**. The subject of the email should be Strengthening



and Improving Climate Resilient WASH Service Delivery in Drought-Prone Districts of Karamoja Subregion, Uganda”.

Short-listed candidates will be contacted latest by **24 May 2022**. Those not hearing by this date will need to assume they have not been successful on this occasion.

Processing of personal data

SIWI will process personal data included in tender documents and communications. For information about SIWI’s processing of personal data, please use the following link:

[Processing of personal data](#)

Disclaimer

This invitation to tender is in no way binding on SIWI. SIWI’s contractual obligation will commence only upon signature of a written contract by the authorized signatories of SIWI and the successful tenderer. Please note also that this project is subject to the appropriation and availability of funds. In the event that the funds are not appropriated or are otherwise unavailable, SIWI reserves the right to terminate this invitation to tender.

SIWI reserves the right to accept any tender without prior negotiations. SIWI reserves the right to reject any tender and to cancel the process and reject all tenders at any time prior to the signing of the contract, without thereby incurring any liability to the affected tenderer(s).

SIWI will not be responsible for, or pay for, expenses or losses that may be incurred by a candidate or tenderer in the preparation of its tender and/or in connection with the procurement process.

We look forward to receiving your tender.

Yours sincerely

A handwritten signature in black ink, appearing to read "Alej", is positioned above the typed name.

Alejandro Jiménez
Director Water and Sanitation
Stockholm International Water Institute (SIWI)